

HAWAII STATE ETHICS COMMISSION
1001 BISHOP STREET, PACIFIC TOWER 970
P.O. BOX 616, HONOLULU, HAWAII 96809
TEL: 587-0460 FAX: 587-0470
email: ethics@hawaiiethics.org

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STATE OF HAWAII
STATE ETHICS COMMISSION

LOBBYIST REGISTRATION FORM

(Type or Print Clearly)

PART I LOBBYIST

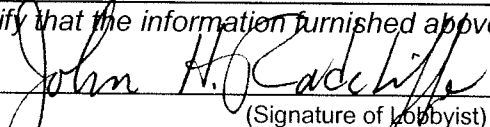
NAME(Last)	(First)	(Middle)	TELEPHONE
RADCLIFFE	JOHN	H.	808-536-7557
MAILING ADDRESS (Street)			FAX
222 SOUTH VINEYARD STREET, SUITE 401			808-599-4340
(City)	(State)	(Zip Code)	
HONOLULU	HAWAII	96813-2453	
EMPLOYING ORGANIZATION (Fill in only if you are employed by a business entity which has been retained to lobby)			TELEPHONE
CAPITOL CONSULTANTS OF HAWAII, LLP			808/531-4551
MAILING ADDRESS (Street)			FAX
222 SOUTH VINEYARD STREET, SUITE 401			808/533-4601
(City)	(State)	(Zip Code)	
HONOLULU	HAWAII	96813-2453	

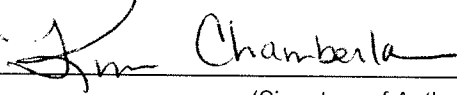
PART II ORGANIZATION

NAME OF ORGANIZATION YOU LOBBY FOR (Do not abbreviate)	TELEPHONE	
SECURITIES INDUSTRY AND FINANCIAL MARKET ASSOCIATION	212-720-0611	
MAILING ADDRESS (Street)	FAX	
120 BROADWAY, 35 TH FLOOR	212-608-1604	
(City)	(State)	(Zip Code)
NEW YORK	NY	10271
NAME OF PERSON RESPONSIBLE FOR PREPARING ORGANIZATION'S EXPENDITURES STATEMENT	TELEPHONE	
MELODY BUTAY DACANAY	808-531-4551	
MAILING ADDRESS (Street)	FAX	
222 SOUTH VINEYARD STREET, SUITE 401	808-533-4601	

(City)	(State)	(Zip Code)
HONOLULU	HI	96813-2453

PART III DESCRIPTION OF SUBJECTS UPON WHICH YOU EXPECT TO LOBBY			
<input type="checkbox"/> Agriculture <input type="checkbox"/> Communications & Public Utilities <input checked="" type="checkbox"/> Consumer Protection & Commerce <input type="checkbox"/> Culture, Arts, Historic Preservation <input type="checkbox"/> Ecology, Energy Environmental Protection	<input type="checkbox"/> Education <input checked="" type="checkbox"/> Government Operations & Finance <input type="checkbox"/> Hawaiian Affairs <input type="checkbox"/> Health <input type="checkbox"/> Housing	<input type="checkbox"/> Human Services <input checked="" type="checkbox"/> Intergovernmental Relations, International Affairs <input type="checkbox"/> Labor & Employment <input type="checkbox"/> Planning, Land & Water Use Management <input type="checkbox"/> Public Safety & Corrections	<input type="checkbox"/> Science, Technology & Economic Development <input type="checkbox"/> Tourism & Recreation <input type="checkbox"/> Transportation <input type="checkbox"/> Other: (indicate below)

PART IV CERTIFICATION OF LOBBYIST	
<i>I hereby certify that the information furnished above is, to the best of my knowledge, correct and complete.</i>	
 (Signature of Lobbyist)	6 Feb 2007 (Date)

PART V AUTHORIZATION TO LOBBY	
NAME	TITLE OF AUTHORIZING OFFICER OR PERSON REPRESENTED
KIM CHAMBERLAIN	VICE PRESIDENT & COUNSEL
NAME OF ORGANIZATION (if applicable)	TELEPHONE 212-720-0611
SECURITIES INDUSTRY AND FINANCIAL MARKET ASSOCIATION	
MAILING ADDRESS (Street)	FAX 212-608-1604
120 BROADWAY, 35 TH FLOOR	
(City)	(State)
NEW YORK	NY
	(Zip Code)
	10271
<i>I hereby authorize the above - named person to engage in lobbying activities on behalf of the undersigned.</i>	
 (Signature of Authorizing Officer or Person Represented)	2/5/07 (Date)